

No.4 "Knowledge management for smart city development"

Moderator: Mr. Gil-Hong Kim (Senior Director concurrently Chief Sector Officer, Sustainable Development and Climate Change Department, Sector Advisory Service Cluster, Asian Development Bank)

	City/Organization	Title	Name	
1	World Bank Group	Senior Officer, Tokyo Development Learning Center (TDLC)	Mr. Daniel Levine	
2	PwC Advisory LLC	Vice President, Cities Solution Center Japan	Mr. Ryo Ishii	
3	City of Kitakyushu	Chief Executive, City of Kitakyushu	Mr. Kengo Ishida	
4	Mandalay City	Mayor	Dr. Ye Lwin	
5	Minglanilla	Director of MCDCB-PROD, Minglanilla, Cebu	Ms. Evelyn Nacario Castro	
6	Colombo Municipal Council	Chief Municipal Veterinary Surgeon, Municipal Veterinary Department	Dr. Ilangange Vipulaguna Premalal Dharmawardhana	
7	Mandaue City	Executive Secretary/Chief of Staff, City Mayors Office	Ms. Mae Elaine Tantengco Bathan	
8	Naga City	Mayor	Ms. Kristine Vanessa Tadiwan Chiong	
9	San Fernando	Mayor	Dr. Lakambini Generans Reluya	
10	Bangkok Metropolitan Administration	Chairman of Advisers to Governor of Bangkok	Dr. Vallop Suwandee	
11	Yokohama City University	Global Cooperation Institute for Sustainable Cities	Prof. Hidefumi Imura	



12	Seikei Univeristy	Professor Emeritus, Seikei Univeristy	Prof. Ryokichi Hirono	
13		Deputy Head, Economic		
	Durban, South	Development and Investment	Takalani Edward	
	Africa	Promotion, Ethekwini	Rathiyaya	
		Metropolitan Municipality		
14	Municipal	Commissionor Municipal		
	Corporation –	Corporation	Mr. Aleem Basha Shaik	
	Kakinada	Corporation		

Tokyo Development Learning Center

Scaling Innovation and Application through City Champions, Operations, Partners and Platforms

Daniel Levine, Senior Officer World Bank Group, TDLC Program November 11, 2016 - Yokohama, Japan



Government of Japan





About the TDLC Program

Tokyo Development Learning Center







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- TDLC is a partnership of the **Government of Japan and the Social**, **Urban, Rural and Resilience Global Practice (GSURR) of the World Bank Group**. GSURR has approximately 250 projects under active supervision with a total portfolio value of approximately USD \$22.55bn globally.
- TDLC supports and facilitates strategic WBG and client country collaboration with select Japanese cities, agencies and partners for **joint research, knowledge exchange, capacity building and other activities** that develop opportunities to link Japanese and global expertise with specific project-level engagements in developing countries to maximize development impact.
- The program is **global in reach and thematically focused on urban planning, urban service provision, urban management, social development, disaster risk management, municipal finance.** The mainstreaming of Quality Infrastructure Investment (QII) is an emergent area of focus for TDLC.



Government of Japan



Modality of Engagement for Complex Multisectoral Development Challenges

Tokyo Development Learning Center













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Government of Japan



Kitakyushu Asian Center for Low Carbon Society

Center established as engine for green growth activities

Concept : Developing interactions that place value on the relationship between cities and that will help Japan gain respect from international society in order to contribute to the creation of green cities in Asia



Compile the experiences and know-how of the city from the process of overcoming pollution and becoming an environmental city in order to Create the "Kitakyushu Model"

141 projects in cooperation with 106 Japanese companies and universities in 57 Asian cities

Exporting Green Cities Using the Kitakyushu Model

Create the "Kitakyushu Model," which is a systematic compilation of the technology and know-how of the city from the process of overcoming pollution to becoming an environmental city.

Support tools to create sustainable green cities that integrate waste, energy, water and sewage, and environmental protection.







-Organization of the Kitakyushu Model-



Kitakyushu Model Initiative to Export the Concept of Green Cities

Application of the Kitakyushu Model

Create green cities by providing optimal "comprehensive city solutions" for target countries



Expected Results

< Merits for Cities Overseas >

- Cities can share Kitakyushu's know-how and receive technological support.
- Cities can develop plans on their own with high potential for implementation according to the situation in each city.
- All stakeholders have a clear picture of the information, vision, detailed policies and impacts.

<Merits for Kitakyushu>

- Various business opportunities will emerge by taking part in planning from the initial stage of the development of master plans.
- Information, human resources, and technologies can be consolidated from both within and outside Japan on the development of urban environmental infrastructure overseas.

Support for Development of Green Growth Promotion Plan in Haiphong



Hai Phong Green Growth Promotion Plan



Promoting 15 Pilot Projects

	①Separation and composting of household waste	alle	
Waste	②Waste heat recovery power generation & utilization of industrial waste		
	③Recycling of e-waste		
Energy	④Energy savings and introduction of decentralized energy systems in factories & buildings		
Transportation	(5)Introduction of low-emission buses		
iransportation	⁶ Promotion of the use of public transportation		
Cat Da Island	⑦Development of comprehensive resource recycling system		
Cat Ba Islanu	8 Energy savings and introduction of renewable energy and EV buses in Cat Ba Island		
Water & Sewage.	(9) U-BCF expansion project	MUEDIN	
Rainwater	11 Handicraft village wastewater measures		
Drainage	①Introduction of sewerage registry system		
Environmental	12 Restoration of Tay Nam canal		
Protection	(13) Development of air and noise monitoring systems		
Green	(1)Installation of high-efficiency furnaces in foundries		
Production	(15) Promotion of green agriculture		

Mandalay to Become a Smart City

CHALLENGES

The 1962 coup turned Myanmar from second-wealthiest country in South-East Asia into Least Developed Country status in 1987 after decades of stagnation, mismanagement and isolation. Lack of HR and Infrastructure retards recent reforms and developments of the new government, in collaboration with foreign countries.

RECENT TRANSFORMS

1. ICT Sector

Infrastructure Development

 Metropolitan Network by Micro Trenching System starting in 2016, 150km/year and a second Traditional Duct System covering 180km (60km left)

ABINDARY CONTRACTOR OF A CONTRACT OF A CONTRACT

- Community Wi-Fi, average 8000 poles will provide additional internet service in May 2017.
- Deploying GIS based Monitoring Tool
- Monopoles to upgrade Telecommunication to 4G

Data Transformation for the Smart Mandalay

- 1. Transform to e-Office and Implement the Integrated Land Management and information System
- 2. e-MCDC Integrated One Stop Service System
- 3. Integration to the e-MCDC LMIS in 2017;
 - (a) Building information.
 - (b) Water Supply System
 - (c) Public Transportation
 - (d) Solid Waste Management
 - (e) Taxation
- 4. To integrate the systems with other Regional Ministries .

2. Road and Transportation

- 1. Real Time Traffic Light
 - plan to cover whole city from existing 21 sites in next year
- 2. Public Transportation
 - Modified BRT with Transit Signal Priority (TSP) (Pre emption)

3. Solid Waste

Challenges

- Generation rate in 2016 is 1020 Ton per Day and collected rate is 800 Ton per day.
- Improper collecting vehicles, only 20 Compactors, Mainly Open Trucks, Tri-motorcycles, Haul Containers
- Insufficient budgets, workers, modernized trucks & equipment and Two open dumping sites
- Lack of public participation

Plans

- Environmental Education program for the students is started in 2014 by the cooperation of Kitakyushu City, Japan.
- Public awareness program for waste segregation at sources is being started.
- Plan for Waste to Energy
- Final disposal sites will be upgraded to become the sanitary landfill sites.

4. Current Water Supply Situation

- Total production : 1,36,363 cubic meters/day (30 MGD)
- Surface water (10%) Ground water (90%)
- Population Served 70%

Mandalay Urban Services Improvement Project (MUSIP)

- Rehabilitation and extension of the water supply system / Non Revenue water reduction.
- Expected implementation period: 2016 to 2023

5. Waste Water

Need to improve the capacity of Existing Creeks and Drains MUSIP

- Wastewater collection and treatment phase 1
- Septic management, sludge treatment/disposal and gas to energy
- Dredging drainage canals and improve flow / Non structural storm water management
- Improved urban planning, integrating climate change resilience
- Utility capacity building and corporatization
- Public awareness raising

6. Smart Building and Home

For Poor Citizens

- low cost housing project 1344 Units
- Affordable Cost Housing Project 3360 Units

For Squatters

Rental housing project – 1584 Units

Future Master Plan





^{5th} Asia Smart City Conference Yokohama, Japan



November 18, 2016 Knowledge Management for Smart City Development





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Platform for Knowledge Management

Evidence, Expertise, Engagement





Gather & Consolidate



KM: Circle Iners





KM: IKNOW library



KN ? Wlibrary

Community

Home Resources

Catalog Inventory



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Vision 2050 & 3+1 Development Strategy

Roadmap for Sustainable Urban Development

Studies & Conferences: Flood Control, Urban Green Growth, Low Carbon, IWRM, Suitability, Transport & Traffic Management

Training & Policy Formulation: Traffic Enforcers Academy; Synchronization of Traffic Policies

Relationship Building: Multi-stakeholder, Multidisciplinary Coalition and Partnerships





COLOMBO MUNICIPAL COUNCIL

E

Dr. Vipula Dharmawardene, Chief Municipal Veterinary Surgeon, Colombo Municipal Council, Sri Lanka. Email: <u>ivpdharmawardene@yahoo.com</u>

37 Square kms 555000+ inhabitants 500,000 floating people 120,000+ housing stock

wards

Since 1865

6 districts,

SUSTAINABLE DEVELOPMENT



GOOD GOVERNANCE



PUBLIC PRIVATE PARTNERSHIP



PLANNED SYSTEMATIC DEVELOPMENT





Colombo Municipal Council

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Another Step Forward from CMC

TRANSFORMATION IN THE CITY OF

COLOMBO







Cool health of people

CHALLENGES

 Resources (Human/ physical/ financial)
Sustainability
Commitment (political/ citizens/ officials)

THANK YOU!

100 100.2


Knowledge Sharing In The Implementation Of City Strategy

ATTY. MAE ELAINE T. BATHAN Chief of Staff, City Mayor's Office

Mandaue City Quick Facts:

- Population: 362,654 (2015 NSO Census)
- Land Area: 32.85 square kilometers
- Population density of 10,100 per sq. km.
- 27 barangays (villages)
- Located at the heart of Metro Cebu
- Smallest City in Metro Cebu
- The junction of major roads going to north, south, mid-east and Mid-west of Cebu
- Highly-Urbanized City since 1991



MANDAUE CITY AT NIGHT.



Balanced Scorecard: a management tool used to monitor the performance of the organization based on sound measurement of strategic objectives and targets.





The Seal of Good Local Governance



Peace and order

Environmental management

Business-friendliness and competitiveness



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Stock Market

in brief

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Closing Rate

P46.075 = \$1

October 13, 2015

Reference Rates

released by BSP today

DISCLOSURES

lo. of Declines

ENTERPRISE

WEDNESDAY, 14 OCTOBER 2015

Mandaue selected as 'green' model town in Asia-Pacific

APEC experts to study feasibility of planned growth areas, railway

andaue City has been selected as a low-carbon model town of the Asia-Pacific Economic Cooperation (APEC) forum, acting Energy Secretary Zenaida Monsada announced at the end of the **Energy Ministers' Meeting** yesterday.

APEC experts will be coming over to help study the feasibility of establishing 3 to 5 new growth areas in Mandaue City that will be connected to the rest of Metro Cebu through a mass transit sys-

between the second Man-

daue-Mactan Bridge and



Energy Undersecretary Monsada

the interior portion of level of development



James Abadia, Mandaue City Administrator

the city. Abadia said the but, at the same time, size of each growth area strike a balance between

BERDE, or the Building for Ecologically Responsive Design Excellence rating system, was developed by the Philippine Green Building Council (PGBC). Buildings that comply with the BERDE rating system will get tax incentives.

The APEC feasibility study, which is targeted to be completed in six months to one year, will also cover a proposed automated guideway transit (AGT) system that will connect the growth areas to the rest of Metro

ment planning.

The other low-carbon model towns are Tianjin in China, Da Nang in Viet Nam and Samui Island in Thailand.

The lessons learned by these cities will guide other APEC economies.

- Mandaue bested two other nominees for the LCMT project, namely, Krasnovarsk City in Russia and Subang Java in Malaysia.

The project, which was launched in 2010, is part of APEC's "Green Growth" initiative to pro-

mote low-carbon and en-CARBON MODEL TOWN AWA Abadia said this ergy efficient technolo-

ministerial meeting. /MARITES VILLAMOR-ILANO

WITH BRAD REDBERSEN

based on the city's Com- required to construct en-

Abadia said the grant from APEC will leapfrog the environment," he Cansaga Bridge, and in the city into a higher said.

the APEC EMM 201

their develop

assistance from APEC experts in urban develop-



















Dr. Jesus P. Estani Chairman Em











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LOCAL TAX HOLIDAYS EXPENSE INCENTIVES REAL PROPERTY DISCOUNTS





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MANDAUE, OUR HOME.



MANAGING KNOWLEDGE FOR CITY DEVELOPMENT

BY: ATTY. KRISTINE VANESSA T. CHIONG CITY MAYOR, CITY OF NAGA, CEBU, PHILIPPINES



THE CITY OF NAGA COMMUNITY BASED MONITORING SYSTEM (CBMS): A BRIEF DESCRIPTION

- A powerful tool to diagnose poverty, identify appropriate interventions to targeted beneficiaries
 - > who are the poor
 - where they are
 - why they are poor
- An organized way of collecting information at the local level using the household survey questionnaire for use of the City Government, national government agencies, non-government organizations, and civic society organizations to create a good urban vision





 The CBMS is implemented in partnership with the Department of Interior and Local Government and the Cebu Provincial Government

THE CITY OF NAGA COMMUNITY BASED MONITORING SYSTEM (CBMS): OUR OBJECTIVES

- To establish a monitoring tool for poverty diagnosis and SDG monitoring; for a more data based or evidenced-based planning and programming; and in monitoring the effects of policies, programs and strategies on the socio economic-conditions within the jurisdiction;
- To capacitate the City Government in building-up the CBMS database, institutionalizing and maintaining a community-based monitoring system; and
- To equip the City Government with the necessary information and skills for the implementation of CBMS and pave way for knowledge management in the local arena.

THE CITY OF NAGA COMMUNITY BASED MONITORING SYSTEM (CBMS): SIGNIFICANT LESSONS

- It involves the complete enumeration of all households.
- LGUs take the lead in the data collection and processing, serve as the repository of the database and use the data in the formulation of annual development and investment plans
- Members of the community become involved in the whole CBMS process



THE CITY OF NAGA COMMUNITY BASED MONITORING SYSTEM (CBMS): SIGNIFICANT LESSONS

 data are submitted to the next higher geopolitical level, allowing for the establishment of databanks at each geopolitical level

 it uses mapping softwares (StatSim Pro and QGIS) to facilitate CBMS-based poverty mapping and storing and displaying household and individual level information that enables knowledge sharing within the City Government systems and structures.



THE CITY OF NAGA COMMUNITY BASED MONITORING SYSTEM (CBMS): CONCLUSIONS

• The CBMS as a venue for knowledge sharing not only at the city level but also for international/interdisciplinary networking.

 There is now a poverty monitoring tool with clear evaluation standards and indicators to measure how responsive the City Government will be towards attaining the SDGs

 There is available data to provide comprehensive picture on the extent of poverty in the grassroots level, hence felt needs of the people are integrated in plans and strategies and effectively addressed.



KNOWLEDGE MANAGEMENT FOR SMART CITY DEVELOPMENT... THE CBMS WAY.

- Atty. Kristine Vanessa T. Chiong Mayor, City of Naga, Cebu Philippines

Managing the 'New Normal'

It is the paramount duty of the local government to increase the sense of awareness and understanding on disaster management, with the view to develop people's resilience and decrease their vulnerabilities.

> Lakambini G. Reluya, DM San Fernando, Province of Cebu Republic of the Philippines

The Philippine Disaster Risk Profile

- 1. Pacific Ring of Fire
- 2. Pacific Typhoon Belt
- 3. Climate Change
- 4. Human-Induced Disasters



Multi-sectoral approach to achieve organizational objectives by employing the best use of knowledge and full utilization of resources.



Multi-Sectoral Synergy















Local Officials	Barangay Officials	Chappy/Habal- Habal Drivers	Youth Group	Education Sector	Business Sector	Other Volunteers
Policies Plans Execution Monitoring Review evaluate	Officials Data collection Integration of plans, policies,	Habal Drivers Alert	Social Media	IEC training	Joint venture	Inter Local Health Zone Other Resources

ECC is the venue for:

Information creation

- Sharing and management of the knowledge and information.
- Mobilizing people and resources.
- Promotion of awareness of the existing and potential problems to realize how to cope with the environment the New Normal

The 5th Asia Smart City Conference Yokohama, Japan 15 – 19 November 2016





Pol. Gen. Aswin Kwanmuang The Governor of Bangkok

Relocation of Encroachment on Public Water Ways with Collaboration of the Central Government



Relocation of Encroachment on Public Water Ways with Collaboration of the Central Government

Keywords

strategy of knowledge management : application urban vision interdisciplinary network










Causes of Flooding in Bangkok in 2011

- mismanagement on water control of all governmental agencies

- inappropriate coordination between Bangkok Metropolitan Administration and those governmental agencies on water control

- encroachers on public water ways deterring flows of incoming water











1,682 canals of 2,600 km.

Khlong Lad Prao Khlong Prem Prachakorn Khlong Bang Sue Total Length of 54 km. Total Number of Households Encroaching : 11,000





A great number of governmental agencies own plots of land along public water ways.

Those agencies lack manpower and coordination to constantly check their plots. Political parties have been reluctant to tackle the problems for fears of losing popularities.







Strategy : Civic / Community Engagement Approaches: consulting

co - researching co - planning self managing



The City of Bangkok or the Bangkok Metropolitan Administration (BMA) collaborates with the Community Organization Development Institute of the Ministry of Social Development and Human Security.

The collaboration provides the appropriation of the National Budget for the establishment of the Community Fund for Investment in Housing. Fund Saving Groups for Housing are formed among community members.
Members of the Fund Saving Groups for Housing are entitled to housing loan on the condition that savings must exceed 5 % of the loan acquired.

Saving and Credit Cooperative is formed among those Fund Saving Groups for Housing.

Saving and Credit Cooperative

- is entitled to lease plots of land from governmental agencies and then rent them to their members to build houses.
- is entitled to establish business units to earn revenues and thus share profits to the members.
- is entitled to an appropriation on budget of 65,000 Bahts for each household to build public utilities, waste treatment facilities, etc.

Financial Supports Provided :

- each family is entitled to 18,000 Bahts on renting home during the construction of the new home.
- the new home of 2 floors has a minimum size of 4.00 x 7.00 meters at the cost of 200,000 Bahts per unit.
 the monthly installment is 3,000 Bahts (the minimum wage is 10,000 Bahts.)









Challenges :

 all governmental agencies must closely supervise their plots so so as not to be taken by any encroachers again

 all political parties must sacrifice fears of losing votes.



The 5th Asia Smart City Conference 2016.11.17, Yokohama

Knowledge Management for Smart City Development

The Role of Universities for Smart City Development

Hidefumi IMURA

Assistant President for International Cooperation Professor, Global Cooperation Institute for Sustainable Cities Yokohama City University

The Role of University Urban Knowledge Platform

- 1. The Role of Universities
 - Basic Function: Education and Research, Visions and Ideas to Lead the society
 - Changing Missions: Cooperation with Administrations and Businesses, Services to Local Communities, International Cooperation
 - Function as an Urban Knowledge Platform
- 2. Urban Knowledge Platform (UKP)
 - Knowledge and Data Stocks: Urban Planning, Design, Management, Etc.
 - Knowledge Transfer: International Cooperation, Foreign Students
 - Capacity Building: Students plus Practitioners including City Officers
 - Collaboration of Stakeholders
 - Application of the Knowledge in Planning and Implementation of Smart City Development
- 3. Challenges
 - Constant Communication and Collaboration with Stakeholders
 - Establishment and Improvement of Systems for UKP
 - Learning from and Teaching of Practitoners

Challenges of YCU (1) IACSC (International Academic Consortium for Sustainable Cities)

- 1. Members
 - YCU (Yokohama, Japan)
 - USM (University Sains Malaysia, Penang)
 - Thammasat University (Bangkok, Thai)
 - Philippine National University (Manila)
 - USSH (University of Social Sciences and Humanities, HoChiMinh City)
- 2. Background and History
 - Contribution to Inter-City Cooperation of Yokohama City
 - Promotion of ECO² (Ecological Cities as Economic Cities) of World Bank
 - 2009
- 3. Main Activities
 - Cooperation in Research and Education
 - SUDP (Sustainable Urban Development Program)
 - YUSS (Yokohama Urban Solutions Studies)

Challenges of YCU (2) Sustainable Urban Development Program (SUDP)

- **1. Conducted in English**
- 2. Problem-solving Approach
- 3. Group work and presentation
- 4. Field trip
- 5. Cases of Asian cities (variety of sectors)
- 6. Variety of resource speakers including municipality, NGO, private sector
- 7. Faculty exchange



Sustainable Urban Development Program (SUDP)

Common Topics

- Introduction to the World Bank's ECO2
- What is Sustainability?
- **Global Warming**
- **Case Study of Cities**

Focus

2012, 2013, 2015, 2016 Yokohama (YCU)

- Land Use & **Transportation**
- Public Health and Water
- Waste Management
- Case of HoChi Minh
- Case of Penang
- Case of Yokohama

2013, 2015, 2016 **Penang (USM)**

- Heritage **Conservation**
- Waste

Management

- Case of Japan
- Case of Penang

2016 **HoChiMinh**

- Urban **Development**
- Flood Control
- Waste
- Transportation

Challenges of YCU (3) YUSS (Yokohama Urban Solutions Studies)

1. YUSS

- Advanced Study Course for Graduate Students from Asian Universities
- 3 months
- 2016: 6 participants (Malaysia 3, Vietnam 3)
- Solution-Oriented Urban Studies: Lectures and On-the-Site Studies
- Internship
- 2. Topics
 - Urban Planning
 - Transport
 - Water
 - Waste
 - Other Urban Issues
- 3. On-the-Site Studies (2016)
 - Transportation: Subways and Bus Systems, Operation and Maintenance of Systems
 - Urban Development: Kohoku New Town, TOD (Transport Oriented Development), Role of Public and Private Sectors
 - Water: Water Supply System, Water Source Forestry Conservation, River Basin Management, Waste Water, Urban Flood Control
 - Municipal Solid Waste: Separation at Source, Incineration Plants, Recycling

Activities of YUSS



Internship at Yokohama City Transportation Bureau



Thank you!





Fin

Thank you!



Smart City Development: Evaluation Guideline and Indicators

Ryokichi HIRONO Seikei University, Tokyo and Adviser, Y-Port Center, Yokohama At the 5th Asia Smart City Conference At Intercontinental Yokohama Grand Hotel 18 November, 2016
1. What we used to mean by "Smart City": Was this a joke or reality ?

1) FOLLOWING THE LAW OF THE JUNGLE: Smart city is the *city* where city administrators, interested in the city's economic growth and employment, in the name of making their city competitive on the national and regional markets, are ready to provide indiscriminately those public resources and services required by those private enterprises in the city in response to their respective corporate priorities, resulting often in inefficient and even wasteful public expenditures for oversize physical infrastructures, with no heed to maintenance expenditures in the coming decades. The lack of transparency and participation led their citizens unaware of and blind to these malpractices!

2) EAT CAKE AND HAVE IT, TOO: Smart city is the city where city administrators, instead of resisting those socially irresponsible politicians demanding all sorts of goodies for their personal favours vis-à-vis their own constituents, try their best to finance ever increasing budgetary deficits by drawing on the budgetary support from prefectural and national governments as well as through municipal bond issuance, thus resulting not only in the increased burden on the future generations but also in the loss of self autonomy in managing the city; **The absence of good governance and watchdogs!**

3) LOOKINF FOR INTERNATIONAL MARRIAGE: Smart city is the city where city administrators, in the name of enhancing international understanding, friendship and cooperation, are seeking sister-city arrangements and enter into other foreign networks so that they or city council members can visit those foreign countries at taxpayers' cost, often without any improvement on any aspects of city management thereafter, in spite of those "observations and studies" made. Masuzoe Syndrome!

2. What we mean today by Smart City: In Search of TAPES - Musashino City

- 1) CITIZEN'S OWNERSHIP and COMMITMENT TO SHARED VISION: Smart city is the city which is owned and managed by its citizens for their own economic, social, environmental and cultural sustainability at the community, national and global levels and where its citizens are concerned not only with improving the quantity, quality and variety of public services rendered, but also seeking active interactions among its citizens for mutual benefits, and committing themselves to enhancing the wellbeing of everyone in its community, prefecture, country and the world.
- 2) POLICY, PLANNING, EQUITY AND EMPOWERMENT-ORIENTED: Smart City is the city where its citizens try to realize their agreed vision, mission and goals through their rolling long-term development plans containing all the goals prioritized by its citizens, with an emphasis on social equity and empowerment, as in the SDGs adopted by the UNGA in the fall of 2015;
- **3) INTEGRATION, EFFICIENCY and EFFECTIVENESS:** Smart city is the city where all the citizens' goals specified in its development plans are pursued in an integrated and efficient manner so that leveraging synergies among different goals under the planetary boundary and budgetary constraints facing the city the achievement of each goal specified is reinforced effectively by that of the other goals of its development plans;
- 4) TRANSPARENT, INCLUSIVE AND PARTICIPATORY APPROACH: Smart city is the city where the decisions of its development plans, implementation, monitoring and evaluation are participated actively by all its citizens in partnership with various stakeholders, with full transparency and accountability of city administration assured through persistent vigilance by its citizens;

3. Monitoring and Evaluation (M&E) Guideline for Improving Smart City Management

- 1) Drafting and Installing the Smart City M&E Guidelines
 - i) Making the M&E Guideline as focused and as simple as possible for day-to-day monitoring
 - Objectives: city management improvement and accountability to its citizens by means of relevant indicators;
 - Requirements for documentation: development plans and performance data (input/output);
 - Major steps to be taken: M&E frame-working, evaluation criteria such as policy relevance, impact, etc.
 - Quantitative and qualitative assessment: benchmarking, objective evidences
 - Interpretation and judgment: interface for recommendations
 - ii) Prepared by the external review/evaluation committee (ER/EC) in consultation with the municipal evaluation group (MEG)
 - iii) Preliminary testing by MEG with small representative groups for each priority programme area in the municipal office, such as economic vibrancy, high-quality education and health services, greening urban living, resilient community development amd wellbeing of the aged, children and other disadvantaged;
- 2) Reviewing M&E Guidelines in response to:
 - i) Changing development panorama requiring quick and timely review/evaluation
 - ii) Alternative evaluation criteria dictated by changing plan and programme objectives and priorities.

4. Development Performance Indicators for Smart City Management

1) Well organized smart city indicators for effective M&E must address themselves to:

i) Social objectives such as education, health, gender, inequality, etc. ii) Resources such as population dynamics, water, soil and energy, etc. iii) Economy such as growth, employment, infrastructure, iv) Environment such as climate change, land use, solid waste management, biodiversity, etc., and v) Governance such as rule of law, disclosure, inclusiveness, participation, etc.

ii) All indicators shall be made, by adopting internationally agreed standards. to enable international inter-city comparisons to the extent possible;

2) Quantitative and Qualitative Indicators for shorter-term municipal investment and recurrent service delivery, based on the evaluation criteria; i) Relevance/Consistency, ii) Efficiency/Productivity (Output/Input), and iii) Outcome/Results;

3) Integrated and Comprehensive Indicators especially for longer-term investment programmes bearing upon life cycle cost and benefits; i) Impact and ii) Sustainability;

4) Possible Pitfalls of Indicator usages; i) Physical indicators: national and international comparisons at city level without regard to their city size (population and area) and quality standards, and ii) Financial indicators: current price comparisons without adjustment to constant, PPP and shadow pricing over time;

5. Monitoring and Evaluation (M&E) of Development Performance for Smart City Management

1) Annual Review of Development Performance

i) Internal review: Conducted continuously by MEG professionally trained, located in the planning and management section of municipal office under the doirect supervision of the Mayor;

ii) External review: Conducted by ER/EC composed of professional evaluators, administration experts and citizen representatives active in some aspects of municipal programmes, located in the Office of the Mayor;

- 2) Training of Municipal Staff in M&E
 - i) Constant provision of M&E training for all staff throughout the municipal office
 - ii) Constant review of the established M&E training manual and on-site practices for effective evaluation;
- 3) Dissemination and reviews of the external review/evaluation committee findings
 - i) Public hearings on the ER/EC's preliminary findings with appropriate policy recommendations for comments
 - ii) Presentation of the final findings with such policy recommendations by the ER/EC to the Mayor;

iii) Review of the final findings at the City Council and its oversight committee, with some commentaries by the Mayor

iv) Timely dissemination of the final findings and all its reviews in the City Newletters/Circulars for further comments by citizens and others.

4) Feedback of the evaluation findings to policy formulation under the mayoral leadership and citizen's vigilance.







5th Asia Smart City Conference

Smart City Development

Principal Secretary Municipal Administration & Urban Development Department

Government of Andhra Pradesh, India

16-18th November 2016 Yokohama, Japan



Presentation Structure

ANDHRA PRADESH

- **1. Smart Cities Development Context**
- 2 Smart Cities Road Map
- 3. Economic Master Plan
- 4. City Branding
- 5. Smart Cities Development in Andhra Pradesh
- 6. Institutional Innovation
- 7. Leveraging City Competitiveness

Smart Cities Development Context

Smart Cities Mission

- -Pan-India initiative by Government of India; Covers 100 cities in the Country
- To drive socio-economic growth by enabling sustainable development and harnessing technology

Comprehensive Development in Smart City includes

Promoting mixed land use in area-based developments

Applying Smart Solutions to infrastructure and services

Making governance citizen-friendly and cost effective

Housing and inclusiveness

Preserving and developing open spaces

Promoting a variety of transport options

The contribution by State and Centre for each Smart City development is USD 150 mn

Private Sector Investment opportunities

The implementation of the Mission at the City level will be done by a Special Purpose Vehicle (SPV) created for the purpose. The SPV will plan, appraise, approve, release funds, implement, manage, operate, monitor and evaluate the Smart City development projects

Smart Cities Road Map

Smart Cities Development



Economic Master Plan – "Employment Generation"

Investment into urban development (Greenfield and Brownfield Development) to be strategic, focused and with high priority to economy generation and employment creation

City Growth is characterised by their Economic Functions and hence need to evolve an "Economic Master Plan"

National / International Partnering is key to bring in investments, exposure and expertise

Economic Master Plan provides a **clear economic direction** to enable partners to focus on **delivering the city's key priorities** in its envisioned context

Goals

- Supports Local Economic and Employment Potential
- Diversified business attraction
- Promotes Entrepreneurship and encourages small business development
- Sustainable physical and economic vitality
- Community development
- Delivers intended Quality of Life

Thematic Considerations for Economic Cities



Industrial



Tourism



Education



Sports



Health

City Branding – "A Valuable Asset"

In the era of globalisation, Cities compete for **attracting investments, talent, lifestyles**

Branding of Cities to leverage their economic positions - based on the **inherent strength** of the city, its unique proposition and **strategic positioning** to be achieved

City brand can strengthen a place and **engage its stakeholders around a common vision**

Andhra Pradesh envisions its cities to be **Productive, Inclusive, Smart, Sustainable and Well-Governed** thereby bringing social, environmental and economic benefits to the residents and businesses of AP cities

City branding would build up its positioning in the **global marketplace**, attract various types of investment, people and markets, sustainable competitive advantage thereby bringing in **socio-economic transformation**





Kakinada – Port City



City Management Approach – "Citizen Centric"

- Citizen interaction and active participation in city functioning
- Modeling citizen behaviors
 - Lifestyle processes
 - Citizen sentiments
 - Response and feedback
- Monitoring and Management of City Processes
- City needs to provide multiple ways to deliver information and choices to the citizen
- Leverage on Information Communication Technology

E-Governance

PuraSeva APP

An integrated portal based citizen help desk and grievance redressal mechanism, wherein citizens can send their complaints/suggestions/grievances to the Municipalities through post or phone or Fax/Email



Features

- Grievances
- Property Tax
- Vacant Land Tax
- Water Charges
- Building Plan Approval
- Building Penalization Scheme
- Citizen Charter
- SOS
- Birth / Death Certificates



The people of Andhra Pradesh envision transforming their State into a happy, inclusive, responsible, globally competitive and innovation-driven society through structural transformation and by sustaining inclusive double-digit economic growth, to become one amongst the three best states in India by 2022, the best state by 2029, and a leading global investment destination by 2050.



Urbanisation from 30% to 50% to achieve double digit economic growth requires structured urban growth and development

Investment opportunity of about USD 30 bn in Urban Development

Vision:

Cities and towns of Andhra Pradesh to be transformed into growth engines

Mission:

Best-in-class urban governance to make the cities smarter with delivery of efficient urban services for sustainable development

Kakinada - Smart City



Institutional Innovation in Andhra Pradesh

An Innovative Institutional Framework created to bridge the financing requirements for urban development in the State and End-to-End Project Delivery along with Capacity Building

ANDHRA PRADESH URBAN DEVELOPMENT FUND

Under SEBI guidelines Blending and Leveraging of Public Capital with Private Capital through Urban Reforms "Fund of Fund across Urban Sectors through innovative instruments"

ANDHRA PRADESH URBAN INFRASTRUCTURE ASSET MANAGEMENT LIMITED

A Joint Venture of Government of Andhra Pradesh and IL&FS, acting as an AMC for Fund Approaching Multilateral and Bilateral Agencies for partnering in APUIAML

Infrastructure Projects worth about USD 0.5 bn being taken up through Project Specific SPV's



Sectors for Development

Water Supply | Sewerage Urban Transport | Solid Waste Management | Smart City Tourism | Waterfront

Smart City attributes leveraging City Competitiveness

Smart Cities approach enable leveraging City Competitiveness

Physical Infrastructure

- Inter-operability between City Systems
- Service delivery and performance benchmarks

Creative Economy

- Strategic Tie-ups, Partnering
- Entrepreneurship, Innovation, Knowledge

Human Capital

- Participatory and Complementing
- Awareness and Responsible

Thank You